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Plasma shape control assessment for JT-60SA using the CREATE tools

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ABSTRACT

This paper deals with the plasma shape control problem in JT-60SA. An assessment of the plasma shape control performance is presented, aimed at the definition of an *optimal* set of gaps to be controlled. Indeed, JT-60SA represents a relevant benchmark to further validate this control approach given the high beta regimes that are envisaged during its operation. Moreover, such regimes represent a challenge from the plasma magnetic control perspective.

The control approach considered for the assessment is based on the *eXtreme Shape Controller* (XSC), since such an approach permits to control, in a *least mean square* sense, a number of shape descriptors that is larger than the number of poloidal field coils. Considering that the design of the XSC is *model-based*, the CREATE linear model for the plasma-circuit response has been used for the design.

In the presented analysis, the capability of tracking different plasma shapes, as well as the one of rejecting disturbances has been considered. The result of this analysis suggests that a set of about 20 gaps equally spaced along the plasma boundary permits to control the shape with a steady-state root-mean square error of less than 1 cm during the flattop of JT-60SA Scenario 2, in the presence of a set of relevant disturbances.

1. Introduction

In view of JT-60SA operations, Japanese and European scientists are developing different tools to support preliminary studies [1,2]. In this context, a set of modelling tools for the design and the validation of plasma magnetic control have been developed [3].

Plasma magnetic control is needed since early tokamak operations to drive the currents in the external active coils, in order to achieve plasma breakdown and to track the scenario current waveforms. In [4], the CREATE electromagnetic modelling tools were used to design and validate a set of control algorithms for JT-60SA. An *isoflux* approach was proposed for plasma shape control, similarly to what has been done in [5,6] and [7]. In particular, the control design procedure used in [4] is based on the *eXtreme Shape Controller* (XSC) approach, which has been adopted at JET since 2003 [8], and more recently at TCV [9]. At JET, the XSC recently enabled the control of high triangularity shapes with both strike points in the divertor corner, which has a large impact in the H-mode confinement in the case of ITER-like wall at JET [10].

In this work, the XSC approach is used to design a *gap-based* plasma shape controller for JT-60SA[1] . JT-60SA represents a relevant benchmark to further validate the *gap-based* control approach, given the high beta regimes that are envisaged during its operation, which represent a challenge from the plasma magnetic control perspective.

Different test cases are considered to assess the performance of the proposed shape controller, with the aim of defining an *optimal* set of gaps to be controlled. In particular, the capability of tracking different plasma shapes, as well as the one of rejecting the envisaged disturbances is considered.

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[1] It should be noticed that the *isoflux* strategy is the only viable solution for plasma boundary control at a beginning of a plasma discharge. Indeed, at relatively low values of plasma current, the noise on the magnetic measurements usually causes a relatively big error on real-time plasma boundary reconstruction. For this reason at the beginning of the discharge, isoflux control is usually adopted. However, gap-based control may enhance the flexibility of the plasma shape controller at the flattop.

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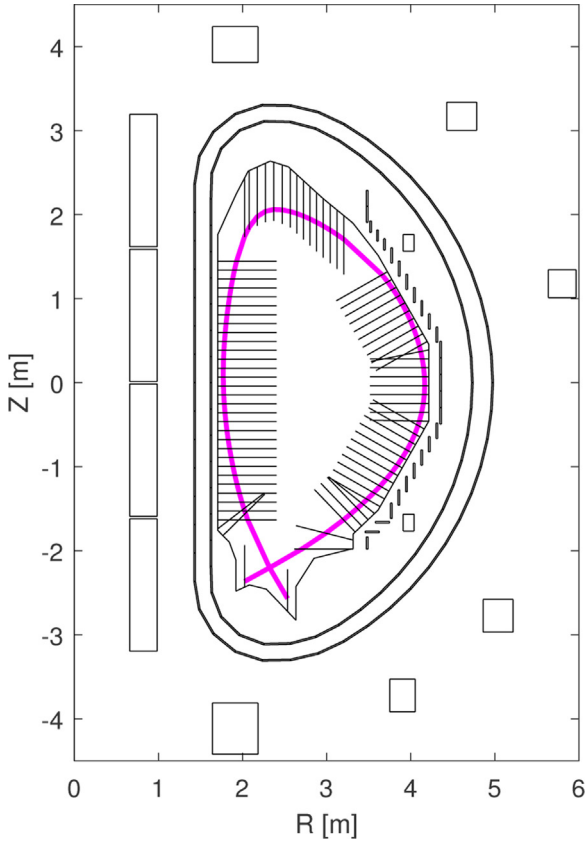


Fig. 1. Poloidal cross-section of the JT-60SA plasma at the Start of the Flat Top (SOF) for reference Scenario 2. At SOF, the nominal plasma current is 5.5 MA, while the nominal values for poloidal beta β_p and internal inductance l_i are 0.53 and 0.85, respectively. In this figure the 85 gaps used to assess the plasma shape controller performance are shown.

The rest of this paper is organized as follows. Section 2 briefly introduces the XSC control strategy, while the reference scenario considered to assess the performance of the gap-based controller is introduced in Section 3, as well as the various disturbances used to perform the considered analysis. The main contribution of this paper is then given in Section 4, where the results of the simulations that have been carried out to assess the controller performance are presented. Some conclusive remarks are eventually given.

2. Gap-based algorithm for plasma shape control at JT-60SA

In this section the XSC control algorithm is briefly recalled. This algorithm is used in Section 4 to evaluate the steady-state performance of the plasma shape controller under different choices for *gaps* to be controlled. It is worth to remark that the XSC is just one of the possible control strategies, and that it can be adopted both for gap-based (as in JET [11]) or for isoflux plasma shape control (as at EAST [12]). The peculiarity of the XSC approach is that it permits to control a number of plasma shape descriptors that is greater than the number of available actuators, i.e. of Poloidal Field Circuits (PFC). More details about the XSC algorithm can be found in [13]. Fig. 1 shows a poloidal cross-section of JT-60SA together with the gaps used in this paper for the assessment of the plasma shape control. The gaps are segments that can be used to describe the shape of the plasma boundary. Being g_i the abscissa along the i-th control segment, we assume that $g_i = 0$ at the first wall. *Gap-based* plasma shape control is achieved by controlling to zero the difference $g_{i_{\text{ref}}} - g_i$ on a sufficiently large number of gaps, being $g_{i_{\text{ref}}}$ the value of the abscissa on the i-th control segment for the reference shape.

The XSC algorithm can be used either to implement a *gap-based* control strategy, or an *isoflux* one, as it has been proposed in [4]. The peculiarity of XSC is that it permits to track a number of shape parameters larger than the number of PFC. This goal is achieved by minimizing a weighted steady-state quadratic tracking error, when the references are constant signals, rather than control it to zero.

The XSC control relies on the PFC decoupling controller (more details can be found in [4,Section 4.4]), since it is assumed that each PFC can be treated as an independent single-input-single-output channel whose dynamic response is modeled in the Laplace domain by

$$I_{\text{PF}_i}(s) = \frac{I_{\text{PF}_{\text{ref}},i}(s)}{1 + s\tau_{\text{PF}}},$$

where I_{PF_i} and $I_{\text{PF}_{\text{ref}_i}}$ are the Laplace transform of the measured and reference current in the i-th PFC, respectively, and where it is assumed that all the PFC exhibit the same bandwidth (i.e., they have the same time constant τ_{PF}).

Denoting by $\delta Y(s)$ the Laplace transform of the variations of the n_G gaps to be controlled, it is possible to exploit the CREATE electromagnetic linear model [4] that links the variation of the PFC reference currents $\delta I_{\text{PF}_{\text{ref}}}$ to $\delta Y(s)$, i.e.

$$\delta Y(s) = C\frac{\delta I_{\text{PF}_{\text{ref}}}(s)}{1 + s\tau_{\text{PF}}},$$

which, at steady-state, implies $\delta Y(s) = C\delta I_{\text{PF}_{\text{ref}}}(s)$.

If the number of controlled plasma shape descriptors n_G is such that $n_G > n_{PF}$, the XSC computes the additional current references as

$$\delta I_{\text{PF}_{\text{ref}}} = C^{\dagger}\delta Y\,. \tag{1}$$

where the matrix $C^{\dagger}$ denotes the pseudo-inverse of C that can be computed via the singular value decomposition (SVD). As a result, the XSC algorithm minimizes the following steady-state performance index

$$J_{\text{XSC}} = \lim_{t\to+\infty}(\delta Y_{\text{ref}} - \delta Y(t))^T(\delta Y_{\text{ref}} - \delta Y(t))\,, \tag{2}$$

where δY_{ref} are constant references for the geometrical descriptors. When the SVD of the C matrix is used to minimize (2), it may happen that some singular values (depending on the plasma configuration) are one order of magnitude smaller than the others. This fact implies that minimizing the performance index (2) retaining all the singular values results in a large control effort at the steady-state, that is a large request on some PFC currents which have only a minor effect on the plasma shape. In order to minimize also the control effort, the additional references (1) are generated by using only the $\bar{n} < n_{\text{PF}}$ linear combinations of PF currents which are related to the largest singular values of the C matrix. This is achieved by using only the $\bar{n}$ singular values when computing the pseudo-inverse $C^{\dagger}$.

Moreover, the PFC current variations given by (1) are summed to the scenario currents and sent to the PFC decoupling controller as references to be tracked. It is worth to remark here that the dynamnic behaviour of the XSC is improved by adding a set of proportional-integral-derivative (PID) controllers on each PFC channel (see [13] for a complete description of the XSC control scheme).

3. Reference scenario for the performance assessment

This section introduces the reference scenario considered in this paper. Furthermore, the test cases used in Section 4 to assess the performance of the considered shape controller by means of simulations, are also presented. These test cases include a set of envisaged disturbances to be rejected, which have been taken from [7] and [14].

The considered scenario is the so-called *Scenario 2* which is one of the references used for the design of JT-60SA [14,Sec. 1.2].

In particular, Scenario 2 refers to a 5.5 MA inductive lower single null discharge, whose reference shape at *Start of Flattop* (SOF) is shown in Fig. 1. Given the magnetic equilibrium at SOF, using the CREATE

codes [15,16] it is possible to retrieve a linearized model that describes the plasma magnetic behaviour around that equilibrium[2] . The nominal values for the plasma current, the poloidal beta and the internal inductance for Scenario 2 at SOF are $I_{p_{eq}} = 5.5$ MA, $\beta_{p_{eq}} = 0.53$, and $l_{i_{eq}} = 0.85$.

The linearized model of Scenario 2 at SOF has been used to design the proposed gap-based shape controller, as well as to assess its performance via simulations. In order to perform the latter task, the following set of disturbances have been considered[3] .

- **Disturbance #1** refers to the behaviour of β_p and l_i soon after the current flattop is reached, as it was modeled in [7] (in this paper we assume that the flattop is reached at $t \sim 16$ s). As an example, the correspondent time traces are shown in Fig. 2[4] .
- **Disturbance #2** refers to the behaviour of β_p due to the presence of an Edge-Localized Mode (ELM). As described in [14,p. 34], during the flattop an instantaneous drop in β_p of $0.05\beta_{p_{eq}}$ is followed by and exponential recovery with a time constant of 0.05 s with a frequency 10 Hz. Note that for this disturbance l_i does not change.
- **Disturbance #3** describes an instantaneous drop in l_i of 0.2 ($l_{i_{eq}} - 0.5$) without recovery, simultaneous with a drop on β_p of $0.2\beta_{p_{eq}}$ followed by a recovery exponential time of 1 s [14,p. 34], which are typical of a so called *minor disruption*.

4. Performance assessment

In this section we summarize the results of the analysis aimed at assessing an set of gaps to be controlled, which represents a good trade-off between performance of the shape control and number of controlled variables.

In order to perform the above mentioned assessment, all around the first wall an equally spaced distribution of 85 gaps was considered as shown in Fig. 1. It should be noticed that all different selections of controlled gaps considered in this paper include the two vertical gaps in the divertor zone, which allows to control the strike-points, and hence the position of the X-point.

Other than the whole set of 85 gaps shown in Fig. 1, in this paper three additional choices are considered. The first one is reported in Fig. 3(a), which consists of 20 gaps equally spaced along the first wall. Moreover, the selection of 8 and 6 gaps that correspond with the control segments considered by the isoflux controllers presented in [5] and [6], respectively, have been also considered (see Figs. 3(b) and 3 (c)). These two latter options are the outcome of preliminary studies aimed at controlling the plasma shape with a set of almost decoupled loops, i.e. single-input-single-output, while the XSC approach proposed in this paper is intrinsically multi-input-multi-output. Moreover, it is worth to remark that, although in [5] and [6] the 8 and 6 gap options have been used with an isoflux control approach, in this paper the same control segments have been used to design the XSC adopting a gap-based approach.

The comparison between the various considered gap sets for the considered test cases is summarized in Table 1. This table shows the *root-mean-square error* (RMSE) between the reference shape and the shape obtained at steady-state after the occurrence of the disturbances. For all the cases reported in Table 1, the RMSE has been computed on the set of 85 gaps shown in Fig. 1, even when not all of them are controlled.

[2] For more details about the use of the CREATE equilibrium codes to retrieve plasma linearized models, the interested reader can refer to [4,Sec. 3].

[3] As far as plasma magnetic control is concerned, the disturbances have been modeled as variations of β_p and l_i.

[4] The time behaviour of both β_p and l_i have been estimated starting from the spatial profiles for both plasma density and temperature envisaged for Scenario 2.

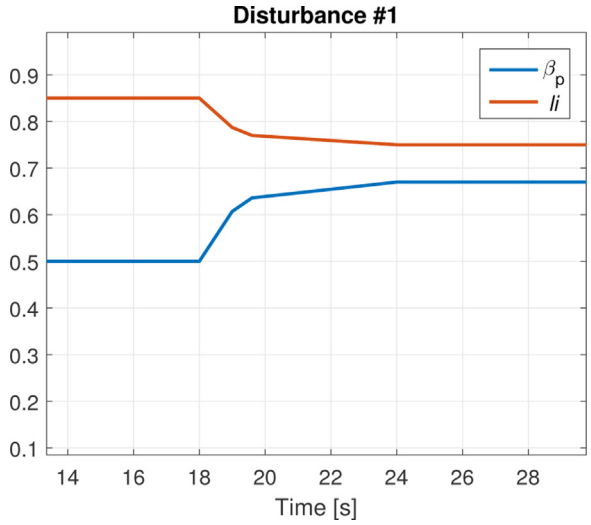


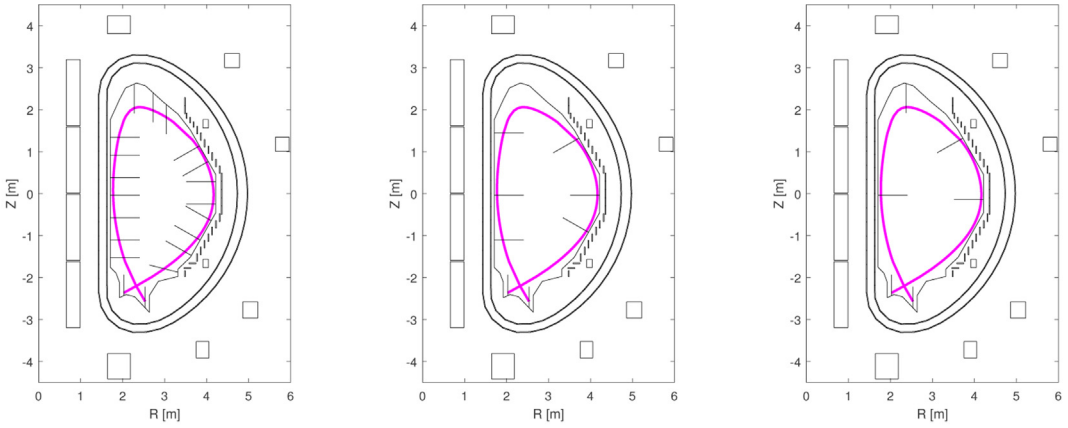
Fig. 2. Poloidal beta and internal inductance time traces for Disturbance #1 that models the expected disturbance soon after the plasma current flattop is reached (at $t \sim 16$ s), according to what has been considered in [7].

It turns out that, according to this preliminary analysis, the rejection of the disturbances induced by ELMs at steady-state is not an issue at JT-60SA, whatever is the set of gaps that is controlled. Indeed, Fig. 4 shows the RMSE time traces for Disturbance #2 (ELMs), being the RMSE computed on the set of 85 gaps shown in Fig. 1 for all the considered options. It turns out that, whatever gap set is used, the controller has almost the same behaviour, with a slightly worse performance of the 6 and 8 gap options. Being a periodic disturbance, the ELMs have been applied only during the first part of the simulation, in order to evaluate the steady-state performance of the controller. However, from Fig. 4 it can be noticed that the rejection of the ELMs is not a concern even during the transients, being the maximum RMSE ~ 2 mm.

For the other two considered cases, at steady-state, the selection of 85 and 20 gaps have a considerable better RMSE in comparison with the selection of 8 and 6 gaps. As outlined in Table 1, the worst case corresponds to the selection of 8 gaps with the presence of Disturbance #3 (minor disruption) during the flattop. As an example, Fig. 5 shows a comparison of the steady-state shape obtained for the 8 and 20 gaps options, when the minor disruption in considered. Furthermore, Fig. 6 shows the RMSE time traces for this disturbance and it can be noticed that the 20 gaps option gives better results with respect to the 8 and 6 gaps cases also during the transient, and not just in steady-state. In particular, in the 6 and 8 gaps cases, being the number of controlled gaps less than the number of the actuators available for plasma shape control, the steady-state error on the controlled gaps is practically zero. However, not being these two sets of gaps *well representative* of the whole plasma boundary, minimizing the error on such sets does not minimize the error on the whole boundary, as shown in Fig. 6 .

It should be also noticed that the 6 gaps option considered in [6] gives better performance than the set of 8 gaps chosen in [5]. Indeed, with the latter set, there is a worse control of the plasma top region, as shown in Fig. 5 (b). Moreover, for the two options with 85 and 20 equally spaced gaps there is no practical difference between the reference shape and the one attained at steady-state.

The fact that there is no practical improvement in controlling 85 gaps rather than 20, can be better understood recalling that $\bar{n} < n_{\mathrm{PF}}$ singular values are used to compute the control matrix as the pseudo-inverse $C^{\dagger}$ in (1). In particular, only the singular values that are greater than the 5% of the greatest one are used to compute $C^{\dagger}$. For the considered JT-60SA scenario, it turned out that 7 singular values out of the available 10 were used, both for the 20 and 85 gaps case; hence controlling 85 does not add any degree of freedom for plasma shape



(a) The 20 gaps used to assess the performance of plasma shape controller in Section 4.

(b) The 8 control segments by the isoflux controller proposed in [5].

(c) The 6 control segments used by the isoflux controller proposed in [6].

Fig. 3. Different choices for the set of controlled gaps used in Section 4.

Table 1
RMSE values for different choices of controlled gaps and for the different test cases that have been considered in Section 4. For all the reported cases the RMSE has been computed on the set of 85 gaps shown in Fig. 1.

Steady-state RMSE [mm]				
	85 Gaps	20 Gaps	8 Gaps	6 Gaps
Disturbance #1	7.7	8.7	31.2	19.8
Disturbance #2	~0	~0	~0	~0
Disturbance #3	6.1	7.8	26.9	16.3

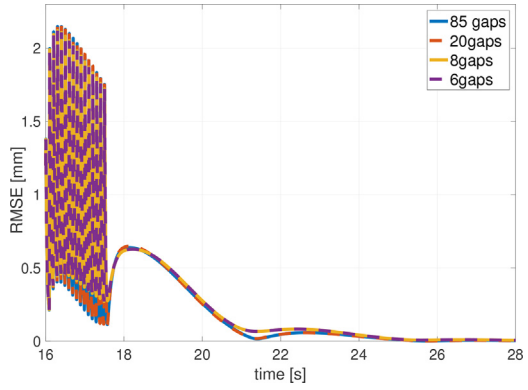


Fig. 4. RMSE time traces for the different gaps selections in the presence of Disturbance #2 (ELMs). For all the considered cases, the RMSE is computed on the set of 85 gaps shown in Fig. 1.

control.

It follows that, within the considered options, the 20 gaps selection represents the *optimal* choice for the set of gaps to be controlled assuming a XSC-like control approach, since it guarantees RMSE along the overall plasma boundary at steady-state of less than 1 cm for the considered test cases.

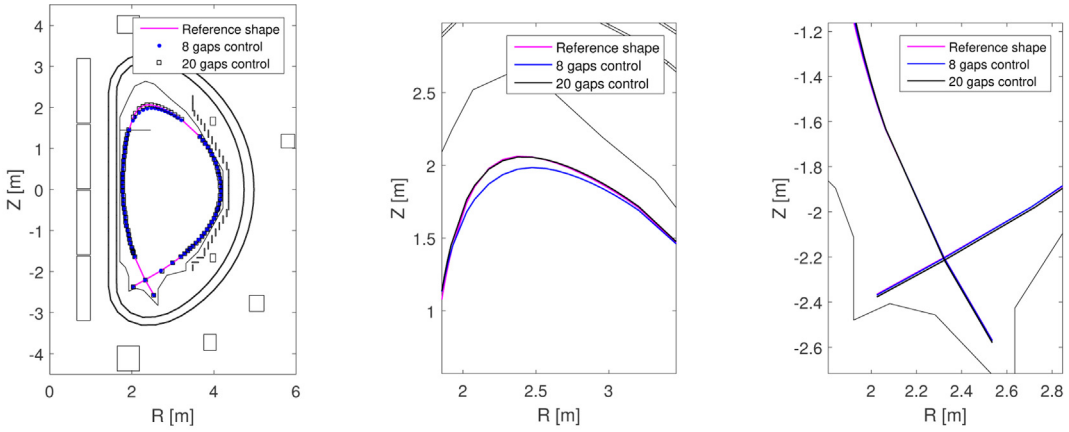
As conclusion to this section, we would like to report a preliminary result concerning the effect of measurement noise on the performance of the plasma shape control system. In order to do that, a closed loop simulation that includes the Cauchy Condition Surface (CCS, [17]) code has been setup. CCS computes the poloidal flux in the control points by using the measured currents in the PFC, and the measurements taken from the magnetic probes and the flux loops, which have been simulated with the CREATE linear model. JT-60 data has been used to estimate the expected noise on the measurement. In particular, a gaussian noise has been considered with standard deviation equal to $\sigma_{mp} = 0.05$ mT and $\sigma_{fl} = 0.025$ mWb, for the magnetic probes and flux loops, respectively, while a relative standard deviation equal to $\sigma_{PFC\%} = 0.075\%$ has been considered for the currents in the PFC. In this preliminary study, we considered a minor disruption during Scenario 2, and it turned out that the expected noise does not have any practical impact on the controller performance, being the mean of the error on the plasma boundary reconstruction in the order of 10^{-3} cm. As an example, for the considered simulation, the mean of the reconstruction error on the vertical and horizontal position of the X-point is equal to $-2.7 \cdot 10^{-3}$ cm and $8.4 \cdot 10^{-4}$ cm, respectively. It should be noticed that, since CCS outputs the flux in the control points, the isoflux approach described in [4] has been used to design the plasma shape controller for this analysis.

5. Conclusions

A comparison between different sets of controlled gaps has been carried out in this paper, assuming a XSC-like approach for the plasma shape control, and a linear plasma response for the JT-60SA Scenario 2 plasma. Different test cases have been considered to assess the control performance, which has been evaluated on the basis of the RMSE between the reference shape and the one obtained by the controller at steady-state. The results of this preliminary study suggest that a set of about 20 equally spaced gaps represent a good trade-off between steady-state performance and number of variables to be controlled, since it permits to control the shape with a steady-state RMSE of less than 1 cm for the considered test cases. Moreover, 20 controlled gaps is a result similar to the one used at JET, where the XSC controls about 30 gaps.

The presented results also indicate that the selection of 8 and 6 shape descriptors proposed in [5] and [6] reveals a greater RMSE value for the considered test cases. This is due to the few number of controlled gaps, especially in the top and inner side regions.

It is worth to notice that a *SVD-based* approach, similar to the one adopted for the XSC design, can be used to minimize the number of control segments [18], given the required control precision. Such an objective was relevant in the past when poor computational resource were available for the real-time magnetic diagnostic, compared with nowadays (as an example see the architecture proposed for ITER in [19]). In this work, it is assumed that the computational resources available at JT-60SA for the real-time plasma boundary reconstruction will be sufficient to compute up to about 100 gaps. Therefore, we focused our attention on the smallest set of gaps *equally spaced* along the



(a) Poloidal cross-section of JT-60SA. (b) Detailed view of the plasma top region. (c) Detailed view of the plasma divertor region.

Fig. 5. Comparison of the shape controller performance in the presence of Disturbance #3 (minor disruption). The two cases of 8 and 20 gaps are considered.

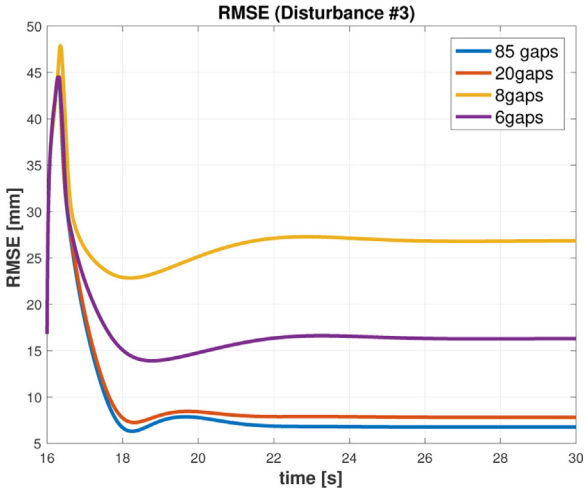


Fig. 6. RMSE time traces for the different gaps selections in the presence of Disturbance #3 (minor disruption). For all the considered cases, the RMSE is computed on the set of 85 gaps shown in Fig. 1.

boundary, that behaves as to control, in a least mean square sense, the whole plasma boundary, which in our case is represented by the set of 85 gaps; in this sense our choice is *optimal*.

Finally, we would like to remark that, in order to further validate these preliminary results, the set of selected gaps needs to be tested also on JT-60SA relevant scenarios other than Scenario 2, and running closed loop simulations with nonlinear equilibrium codes.

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